09/306,605 <u>DECLARATION: PATENT APPLICATION</u> IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

licant: Daniel J. Thomsen et al.

Examiner: Michael J. Simitoski

Serial No.: 09/483,164 Filed: January 14, 2000 Group Art Unit: 2134 Docket: 105.174US1

Title: LOCALLY ADAPTABLE CENTRAL SECURITY MANAGEMENT IN A

HETEROGENEOUS NETWORK ENVIRONMENT

DECLARATION UNDER 37 C.F.R. § 1.47(a)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Pursuant to 37 C.F.R. 1.47(a) and 35 U.S.C. 116, second paragraph, Applicants submit this Petition seeking permission to file the Declaration under 37 CFR § 1.131 in the above-identified application without the signature of the co-inventor who has failed to execute the Declaration under § 1.131:

Inventor: Jessica Bogle

Last known address: 15257 72nd Ave N. Maple Grove, MN 55311

As set forth in more detail in the attached Affidavit of Amy Moriarty, Applicants' legal representatives have made *bona fide* and diligent attempt to present the application as filed and the corresponding Declaration under § 1.131 to the unavailable inventor, Jessica Bogle, for her signature.

The below-signed attorney, Paul Urbanski, is a patent attorney employed by the firm of Schwegman, Lundberg, Woessner & Kluth, P.A. ("SLWK"). SLWK represents Secure Computing Corporation (the Assignee). Jessica Bogle is not presently employed by Secure Computing Corporation.

DECLARATION UNDER 37 C.F.R. § 1.47(a)

Serial No.: 09/483,164 Filed: January 14, 2000 Docket: 105.174US1

Title: LOCALLY ADAPTABLE CENTRAL SECURITY MANAGEMENT IN A HETEROGENEOUS NETWORK ENVIRONMENT

The Commissioner is authorized to charge Deposit Account No. 19-0743 in the amount of \$130.00 for the petition fee as set forth under 37 CFR 1.17(h) and for any additional fees or credits.

By

Respectfully submitted,

Date May 15, 2006

Date you

Attorney (Reg. No. 58,351)

N 09/306,605

DECLARATION: PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 1 8 2006 Applicant: Daniel J. Thomsen et al.

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Pursuant to 37 C.F.R. 1.47(a) and 35 U.S.C. 116, second paragraph, Applicants submit this Petition seeking permission to file the Declaration under 37 CFR § 1.131 in the above-identified application without the signature of the co-inventor who has failed to execute the Declaration under § 1.131:

Inventor: Daniel J. Thomsen

Last known address: 3565 Cleveland St. NE, Minneapolis, MN 55418

As set forth in more detail in the attached Affidavit of Paul Urbanski, Applicants' legal representatives have made *bona fide* and diligent attempt to present the application as filed and the corresponding Declaration under § 1.131 to the unavailable inventor, Daniel J. Thomsen, for his signature.

The below-signed attorney, Paul Urbanski, is a patent attorney employed by the firm of Schwegman, Lundberg, Woessner & Kluth, P.A.("SLWK"). SLWK represents Secure Computing Corporation (the Assignee). Daniel J. Thomsen is not presently employed by Secure Computing Corporation.

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Respectfully submitted,

Date

May 15,2006

Ву

Paul J. Urbanski

Attorney (Reg. No. 58,351)

XN 09/306,605

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Group Art Unit: 2134

Filed: January 14, 2000

Docket: 105.174US1

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DECLARATION OF AMY MORIARTY IN SUPPORT OF RULE 1.47(a) PETITION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

I, Amy Moriarty declare as follows:

- 1. I am a Paralegal employed by the firm of Schwegman, Lundberg, Woessner & Kluth, P.A., which represents Secure Computing Corporation in the above-referenced patent application.
- 2. I was given a Declaration under 37 C.F.R. § 1.131 from attorney Paul Urbanski to send to all of the inventors for the above matter for their review and signature. The list of inventors for this matter includes Jessica Bogle, Charles Payne, Richard O'Brien, and Daniel J. Thomsen. On March 10, 2006, a package that included the Declaration under 1.131, a copy of the application filed on January 14, 2000, including the specification, claims and drawings, and a copy of each reference listed in the declaration under 1.131, and a letter signed by myself, was prepared and sent to Jessica Bogle at her last known address (1703 Elm St., White Bear Lake, MN 55110) via Federal Express. The letter requested that Jessica Bogle sign and return the document to my attention. Attached hereto as Exhibit A is a true and accurate copy of the letter. Also attached hereto as Exhibit B is a copy of the Federal Express Track for this shipment.
- 3. On March 15, 2006 the Federal Express package was returned with the indication that Jessica Bogle had moved to 15257 72nd Ave N., Maple Grove, MN 55311. This package was then re-sent to that address via Federal Express. This package was delivered to the new

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address on Mach 17, 2006. Attached hereto Exhibit C is a copy of the Federal Express Track for this shipment.

- 4. On May 11, 2006, I tried to contact Jessica Bogle using her last known phone number (651-779-4351) and was unsuccessful in reaching her. I then called for information for the Maple Grove, MN area (411) and was given a new number of 763-420-7818. When I called this new number, I left a message on the voicemail machine to have Jessica Bogle call me back and I have not received a response.
- 5. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any United States patent issued thereon.

Date: May 15,2006

Amy J. Morjarty



SCHWEGMAN ■ LUNDBERG ■ WOESSNER ■ KLUTH

Intellectual Property Attorneys

PATENT PROTECTION FOR HIGH TECHNOLOGY

Paul Urbanski - (612) 371-2172 - Direct Dial purbanski@slwk.com

Amy J. Moriarty - (612) 371-2127 - Direct Dial amoriarty@slwk.com

March 10, 2006

Via FedEX

essica Bogle 703 Elm St.

White Bear Lake, MN 55110

Charles Payne

4906 Pinecroft Ct. N

Stillwater, MN 55082

Richard O'Brien 9709 Oliver Ave. N

Brooklyn Park, MN 55444

Daniel Thomsen

3565 Cleveland St. NE

Minneapolis, MN 55418

Re:

Docket # 105.174US1 - Secure Computing Corporation Ref. No. Projects Napolean

U.S. Patent Application Serial Number: 09/483,164

Title: LOCALLY ADAPTABLE CENTRAL SECURITY MANAGEMENT IN A HETEROGENEOUS NETWORK ENVIRONMENT

Dear Inventors:

You are all listed as inventors for the above identified patent application that was filed by our law firm on January 14, 2000. In order for us to respond to the Patent Office, we need you to review and sign the enclosed declaration.

I have also included a copy of the application as filed and the references as listed on the declaration for you to review.

Please return the signed declaration as soon as possible. For your convenience, I am enclosing a prepaid FedEX envelope addressed to my attention.

If you have any questions regarding this matter, please contact Paul Urbanski at (612) 371-2172.

With kind regards,

Amy J. Moriarty

Paralegal

Enclosures

cc. Mary Budge (w/o enc.)



Exhibit B

Detailed Results

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Nacking number Signed for by Ship date Delivery date 790843625425 Signature release on file Mar 10, 2006 Mar 13, 2006 9:05 AM

Reference Destination Delivered to Service type Weight 105.174US1 Moriarty White Bear Lake, MN Residence Priority Pak 1.0 lbs.

Status

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Date/Time		Activity	Location	Details
Mar 15, 2006	12:36 PM	Package returned to shipper	WHITE BEAR LAKE, MN	Return tracking number: 854258075457
Mar 13, 2006	9:05 AM	Delivered	White Bear Lake, MN	Left at front door. Package delivered to recipient address - release authorized
	8:13 AM	On FedEx vehicle for delivery	WHITE BEAR LAKE, MN	
Mar 11, 2006	7:30 AM	At local FedEx facility	WHITE BEAR LAKE, MN	Package not due for delivery
	5:18 AM	At dest sort facility	MINNEAPOLIS, MN	
Mar 10, 2006	11:23 PM	At local FedEx facility	MINNEAPOLIS, MN	
	10:42 PM	At dest sort facility	MINNEAPOLIS, MN	
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Jessica Bogic

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> 791409059416 Signature release on file Mar 16, 2006 Mar 17, 2006 10:19 AM

Reference Destination Delivered to Service type Weight

105.174US1 Moriarty Maple Grove, MN Residence Priority Pak 1.0 lbs.

Status

Delivered

Date/Time Mar 17, 2006	10:19 AM	Activity Delivered	Location Maple Grove, MN	Details Left at front door. Package delivered to recipient address - release authorized
	8:09 AM	On FedEx vehicle for delivery	MAPLE GROVE, MN	
	12:09 AM	At local FedEx facility	MINNEAPOLIS, MN	
Mar 16, 2006	9:56 PM	At dest sort facility	MINNEAPOLIS, MN	
	8:08 PM	Left origin	MINNEAPOLIS, MN	
	4:51 PM	Picked up	MINNEAPOLIS, MN	
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DECLARATION OF PAUL URBANSKI IN SUPPORT OF RULE 1.47(a) PETITION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

- 1. I, Paul J. Urbanski, declare as follows:
- 2. I am presently an attorney with Schwegman, Lundberg, Woessner and Kluth, P.A. (hereinafter SLWK). SLWK represents the Assignee of the above identified patent application, Secure Computing Corporation (hereinafter, the Assignee). The Assignee is a corporation and has a place of business located at 2340 Energy Park Drive, St. Paul, Minnesota 55108-1511.
- 3. I attest to the best of my knowledge, information, and belief that Mr. Daniel J. Thomsen is an inventor in the above-identified patent application.
- 4. I attest to the best of my knowledge, information, and belief that Mr. Thomsen's address is 3565 Cleveland St. N.E., Minneapolis, MN 55418.
- 5. During a phone conversation with Mr. Thomsen on May 9, 2006, Mr. Thomsen indicated to me that he would not cooperate in further prosecution of the above-identified patent application, including not signing the Declaration of Prior Invention under 37 C.F.R. § 1.131. The reason given by Mr. Thomsen is that because he is no longer employed by the Assignee, he has no interest in further pursuing the patent application.

DECLARATION OF PAUL URBANSKI IN SUPPORT OF RULE 1.47(a) PETITION

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6. I submit, for the foregoing reasons, that the Non-Signing Inventor's conduct constitutes proof of a refusal to cooperate in further prosecution of the patent application.

Respectfully submitted,

Date May 15, 2006

James J. V

Attorney (Reg. No. 58,351)